

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Akio YAMASHITA et al.	Art Unit	: 1745
Serial No.	: 10/595,310	Examiner	: Sing P. Chan
Filed	: April 6, 2006	Conf. No.	: 7163
Title	: METHOD OF MANUFACTURING OPTICAL FILM		

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION STATEMENT AND TRANSMITTAL OF FORM SB/08/A**

Applicants request consideration of the references listed on the attached form SB/08/a. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. A copy of the listed U.S. patent can be provided upon request.

Each item of information in this statement, with the exception of U.S. Publication Nos. 2003/0032210 and 2009/0239320, (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated May 17, 2011, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. The communication from a foreign patent office is from the Japan Patent Office in related Japanese Patent Application No. 2004-312667. U.S. Publication Nos. 2003/0032210 and 2009/0239320 are English-language documents corresponding to other cited references as set forth in the form SB/08/a, and are cited in accordance with MPEP 609.04(b)(V).

Applicant : Akio YAMASHITA et al.  
Serial No. : 10/595,310  
Filed : April 6, 2006  
Page : 2 of 2

Attorney's Docket No.: 12732-0329US1 / PCTUS7433

This information is being submitted after receipt of a notice of allowance. The fee set forth in 37 CFR 1.17(p) is being submitted by deposit account authorization. Please apply any other charges or credits to Deposit Account No. 06 1050.

Respectfully submitted,

Date: July 14, 2011

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PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10595310	
	Filing Date		2006-04-06	
	First Named Inventor	Akio Yamashita		
	Art Unit	1745		
	Examiner Name	Sing P. Chan		
Attorney Docket Number		12732-0329US1		

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030032210	A1	2003-02-13	Takayama et al.	
	2	20090239320	A1	2009-09-24	Takayama et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	11-243209	JP	A	1999-09-07		Machine English translation	<input checked="" type="checkbox"/>
	2	2002-184959	JP	A	2002-06-28		English abstract	<input checked="" type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
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Filing Date	2006-04-06
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Examiner Name	Sing P. Chan
Attorney Docket Number	12732-0329US1

3	2003-174153	JP	A	2003-06-20	English abstract and family to US2003/0032210, US2009/0239320	<input checked="" type="checkbox"/>
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If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
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**EXAMINER SIGNATURE**

Examiner Signature	/Sing Chan/	Date Considered	07/17/2011
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

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Examiner Name	Sing P. Chan
Attorney Docket Number	12732-0329US1

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John F. Hayden/	Date (YYYY-MM-DD)	2011-07-14
Name/Print	John F. Hayden	Registration Number	37640

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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